# Forced Migration and Humanitarian Assistance:

# Critical Implications and Integral Solutions

*Call for Proposals*

*July 15, 2016*

**Introduction**

The following is a Call for Proposals for research on **Forced Migration and Humanitarian Assistance: Critical Implications and Integral Solutions** under the Research for Resilience and Preparedness Grants Program, administered by ***Response 2 Resilience*** (R2R, www.response2resilience.org), a non-profit organization dedicated to the systematic strengthening of leadership within the disaster risk management and humanitarian assistance community through research, training and advocacy. The grants program is funded by the **Global Disaster Preparedness Center** (GDPC, www.preparecenter.org), a joint initiative between the American Red Cross and the International Federation of Red Cross and Red Crescent societies (IFRC) to support innovation and learning in disaster preparedness.

The grants program will support researchers to examine the causes and effects of forced migration in order to improve response to humanitarian crises and protracted refugee situations in the most vulnerable communities. The subject matter is complex and crosses many borders and requires researchers to address both the drivers of forced migration as well as the experiences of affected people themselves. Therefore, R2R in partnership with the GDPC will seek and fund proposals to assess and identify the risk factors and opportunities that are inherent to population displacement to better inform and strengthen humanitarian work.

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# **I. General Information**

## *Agency Name*

Response 2 Resilience, a non-profit organization (R2R)

## *Research Opportunity Title*

Forced Migration and Humanitarian Assistance: Critical Implications and Integral Solutions

## *Program Name*

Research for Resilience and Preparedness Program

## *Response Date*

1. *Concept Note submission*

August, 5, 2016

1. *Full Proposal submission*

September 23, 2016

## *Research Opportunity Description*

The program will support researchers from academic and humanitarian organizations to examine the causes and effects of forced migration in order to improve the response to humanitarian crises and protracted refugee situations in the most vulnerable communities. The subject matter is complex and crosses many borders and requires researchers to address both the drivers of forced migration as well as the experiences of affected people themselves.

The Research for Resilience and Preparedness Program competition is for research related to the topics listed below. Innovative concept notes and proposals related to these research topics are highly encouraged.

This call seeks proposals to assess and identify the risk factors and opportunities that are inherent to population displacement to better inform and strengthen humanitarian work.Research topics include:

1. *To capture and analyze coping mechanisms from population on the move as well as receiving communities to inform program planning and implementation;*
2. *To identify reasonably accurate and time-specific answers to questions on what motivates people, when and where to move;*

The research will inform policy and implementing stakeholders, and the affected population, to more effectively take actions to mitigate and reduce the negative effects of forced migration and develop strategies to strengthen resilience. Detailed descriptions of the topics can be found in Section VII, “Specific Topics.”

## *Points of Contact*

Dr. Eric Corzine, PhD

Response 2 Resilience ([www.response2resilience.org](http://www.response2resilience.org))

Email: ecorzine@response2resilience.org

## *Instrument Type*

Grant

# **II. Award Information**

## *Period of Performance*

October 15, 2016 to April 15, 2017

## *Award Amount*

* One award of $50,000
* Two awards of $25,000

# **III. Eligibility Information**

## *Eligible Institutions*

* Researchers from both academic and humanitarian organizations are welcome to submit proposals;
* Applicants must be a legal person;
* Applicants must be non-profit making;
* Applicants must be directly responsible for the preparation and management of the action with their partners, not acting as an intermediary;
* To ensure the relevance and applicability of the research, collaboration between research institutions and implementing organizations are strongly encouraged. Submissions that include such collaborations will be preferred;
* Research teams from countries which are directly impacted by mass migration issues will be preferred.

## *Other Eligibility Criteria*

* There will be no geographic limit, though priority will be given to researchers from countries hosting large refugee populations or countries generating new mass migration;
* Multiple submissions are permitted;
* Although not required, it is highly encouraged that submissions include a cost-share.

# **IV. Application and Submission Information**

## *General Requirements*

The Research for Resilience and Preparedness Program application process is conducted in two stages:

1. Concept Note submission (via email) Deadline: August, 5, 2016 5:00 PM (CST)
2. Full Proposal submission (via email) Deadline: September 23, 2016 5:00 PM (CST)

**Stage 1** – Prospective researchers are required to submit a concept note(s). Based upon an assessment of the concept note, R2R will advise offerors whether the concept note was selected to move forward to the full proposal stage.

**Stage 2** - All proposals submitted under the terms and conditions cited in this Call for Proposals will be evaluated in accordance with the evaluation criteria stated herein.

## *Concept Note*

The Concept note is the first step to the application process. *Submission of the Concept Note must be prepared in accordance with the template provided in the Annex.* Applicants whose Concept Notes that have been chosen will be asked to submit a more detailed proposal for evaluation and approval. The concept note is an abbreviated version of a full proposal.

## *Full Proposal*

Full proposal template will be provided to successful concept note applicants.

# **V. Evaluation Information**

## *Evaluation Criteria*

Subject to funding availability, concept notes and proposals will be evaluated under the following criteria:

**Principal Criteria**

1. **Scientific merit**, soundness, and programmatic strategy of the proposed research; and
2. **Relevance** and potential contributions of the proposed research to research areas as described in Section VII.

**Other Criteria**

1. Potential **impact** of the research to inform policy and implementing stakeholders, and the affected population, to more effectively take actions to mitigate and reduce the negative effects of forced migration and develop strategies to strengthen resilience.
2. The **qualifications** and availability of the Principal Investigators and key co-investigators (if applicable) and the **overall management approach**; and
3. The realism and reasonableness of **cost**.
4. Submissions that propose events for dissemination and shared learning will be preferred.

# **VI. Significant Dates and Times**

|  |  |
| --- | --- |
|  | **Indicative Calendar** |
| **1:** Launch of call for proposals  | **July 15, 2016** |
| **2:** Submission of the concept note and summary budget by email to Dr. Eric Corzine, ecorzine@response2resilience.org (with relevant attachments)  | **August 5, 2016 (5:00 pm CST)** |
| **3:** Acknowledgement of receipt of Concept Notes (sent by e-mail) | **August 10, 2016** |
| **4:** Communication of results to selected applicants and request for detailed project proposals  | **August 26, 2016** |
| **5:** Submission of full proposal and budget by email to Dr. Eric Corzine, ecorzine@response2resilience.org (with relevant attachments) | **September 23, 2016** |
| **6:** Communication of results to successful applicants  | **October 7, 2016** |
| **7:** Finalization and signature of grant award contracts with successful applicants  | **October 15, 2016** |
| **8:** Project End Date | **April 15, 2017** |

# **VII. Specific Topics**

The proposed work should be oriented by the following topics of interest and illustrative research questions. The goals of the research of capturing and analyzing coping mechanisms, and identifying factors which motivate mass migration, and with the intent of generating research that has operational implications, two topics were developed with associated research questions: Experiences and Drivers. Experiences focuses on affected communities and Drivers focuses on mass migration factors. These topics will provide some guidance to researchers in their research development.

The following topics and corresponding subtopics indicate questions of interest for the Research for Resilience and Preparedness program. Topics (Experiences and Drivers) are not mutually exclusive and applicants are not limited to the questions listed. Researchers should aim to balance the specificity of their proposed research with the generalizability of the expected results. Proposals may leverage existing data or collect new data. Preference will be given to studies by experts in countries hosting large refugee populations or countries generating mass migration. Researchers are encouraged to incorporate novel research methods and consider ways to ground the work both qualitatively and quantitatively. Interdisciplinary approaches are encouraged, especially when mutually informing and/or cross-validating (methodological integration).

Topic #1: Experiences of affected people in the host communities include but are not limited to the following research questions:

* What are the challenges to finding durable solutions (repatriation, resettlement, local integration) in host communities today?
* What are the challenges in host communities to providing protection for refugees in different environments, i.e. urban settings?
* How do individuals, groups and host communities respond and adapt to the challenges posed by displacement?
* How do forced migrants participate in shaping norms and influencing policies?
* In what ways do forced migrants exercise agency and how is this agency best conceptualized?
* What are the legal and institutional challenges to ensuring the provision of protection to refugees, IDPs and other forced migrants?
* Within affected countries, what self-protection strategies are individuals at risk adopting?

Topic #2: Drivers of forced migration include but are not limited to the following research questions:

* What is the correlation between state fragility and forced migration flows?
* What are the factors (political, economic, environmental, institutional, etc.) that drive or influence forced migration flows and have they changed over time?
* In what ways are the various causes of migration interrelated?
* How do (and should) the factors that generate forced migration influence the character of appropriate responses to it?

# **ANNEX – CONCEPT NOTE TEMPLATE**

The concept note consists of three sections: (1) Technical (2 page maximum); (2) Budget; and (3) Individual and organizational capacity. ***Submissions that do not follow the Guidelines will not be reviewed.***

## **I. Technical Concept Note Guidelines**

1. Name of Principal Investigator (and contact information):

2. Identification of the research and research questions being addressed.

3. Explanation on why the research is important and how it will inform migration work.

4. Outline of the proposed research team.

5. Proposed methods and description of research methodology.

1. Description of application and relevance to humanitarian work in support of migrants.
2. Dissemination plans (shared learning events are encouraged)

## **II. Budget**

|  |
| --- |
| Summary of Estimated Costs (US$): |
| Personnel Costs |  |
| Travel Costs |  |
| Other Direct Costs |  |
| Indirect Costs |  |
|  Total Costs |  |

## **III. Individual and Organizational Capacity**

* Potential Team and Management Plan
* Researcher (s) CVs
* Any additional relevant documents.