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## BMJ Open Sociodemographic patterning of long-term diabetes mellitus control following Japan's 3.11 triple disaster: a retrospective cohort study

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#### **ABSTRACT**

**Objective:** To assess the sociodemographic patterning of changes in glycaemic control of patients with diabetes affected by the 2011 triple disaster in Japan (earthquake, tsunami and nuclear accident).

**Methods:** A retrospective cohort study was undertaken with 404 patients with diabetes at a public hospital in Minamisoma City, Fukushima Prefecture. Glycated haemoglobin (HbA1c) levels were measured in 2010, 2011 and 2012 to capture changes in alvcaemic control postdisaster. Age, sex, urban/rural residency, evacuation status and medication use were also assessed.

Results: There was an overall deterioration in alvcaemic control after the disaster, with the mean HbA1c rising from 6.77% in 2010 to 6.90% in 2012 (National Glycohemoglobin Standardization Program, NGSP). Rural residency was associated with a lower likelihood of deteriorating control (OR 0.34, 95% CI 0.13 to 0.84), compared with urban residency. Older age (OR 0.95, 95% CI 0.91 to 0.98) was also slightly protective against increased HbA1c. Evacuation and sex were not significant predictors.

**Conclusions:** Patients with diabetes who were affected by Japan's triple disaster experienced a deterioration in their glycaemic control following the disasters. The extent of this deterioration was mediated by sociodemographic factors, with rural residence and older age protective against the effects of the disaster on glycaemic control. These results may be indicative of underlying social determinants of health in rural Japan.



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#### INTRODUCTION

There is growing evidence that the development and progression of diabetes mellitus, a significant part of the global burden of noncommunicable diseases, is socially patterned.<sup>2</sup> This fits with a broader literature on the social determinants of health, defined as the conditions in which 'people are born,

#### Strengths and limitations of this study

- This study undertakes unprecedented assessment of the social predictors of diabetes outcomes in disaster settings.
- A considerable proportion of the study cohort (20.8%) was lost to follow-up after the disasters.
- It was not possible to assess seasonal or temporal trends in glycated haemoglobin levels.

live, work and age'.2 Most prominently, low socioeconomic status has been linked with increased risk of developing diabetes, diabetes complications and diabetes-related mortality.4-9 Older age and male sex have also been found to be associated with higher risk of developing diabetes, <sup>10–12</sup> and older adults are at higher risk of diabetes-related complications. 13 On an area level, urban/ rural differences in diabetes prevalence<sup>14</sup> and diabetes control 15-17 have been observed, although there is little consistency between results from different countries.

Disasters are a phenomenon that may result in large-scale health impacts, including the worsened glycaemic control of patients with diabetes 18 19 and increased incidence of diabetes-related mortality for months postdisaster.<sup>20</sup> <sup>21</sup> On 11 March 2011, Japan was hit by an earthquake, tsunami and nuclear disaster, referred to as the 3.11 triple disaster. This event had a dramatic impact on the health of the local population; in addition to the immediate burden in terms of injury and loss of life, there have been long-term effects on mental and physical health. 22 23 In terms of impacts on patients with diabetes, there have been mixed results. While worsened glycaemic control has been observed and attributed to psychological stress<sup>24</sup> or changes in medication availability after the disasters,<sup>25</sup>

other studies have found no changes in glycaemic control. 26 Until now, no research has examined the social patterning of diabetes outcomes after the 3.11 triple disaster, or any other disasters, despite the aforementioned evidence for social determinants of diabetes in non-disaster settings. This presents a notable gap in the literature where two fields of research, the social determinants of health and disaster medicine, have not yet intersected.

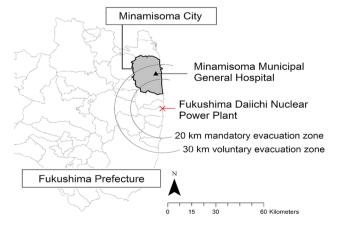
The aim of this study is to examine the impact of the 3.11 triple disaster on glycaemic control of patients with diabetes living in a significantly affected area, and to explore whether this impact varies by sociodemographic factors of age, sex, evacuation status and urban/rural residence.

#### **METHODS**

#### Study setting and participants

This study was carried out at Minamisoma Municipal General Hospital (MMGH), the only hospital to remain open within a 30 km radius of Fukushima Daiichi Nuclear Power Plant in the 4 weeks following the 3.11 triple disaster. Located 23 km away from the power plant, the hospital falls just outside of the mandatory evacuation zone (20 km radius) and inside the voluntary evacuation zone (20–30 km radius; figure 1).

The target population for this study consisted of residents aged 20 or over who had known diabetes (type 1 and 2) and were under the treatment of MMGH before the 3.11 disasters. All patients undergoing diabetes care at the study hospital in 2010 were identified through billing records, and regarded as having a confirmed diagnosis of diabetes if a diagnosis was recorded in their chart, and there was a record of elevated glycated haemoglobin (HbA1c; above 6.3% by National Glycohemoglobin Standardization Program (NGSP) values) at any time in the previous 3 years. Inclusion criteria for this study were living in Minamisoma city, having had HbA1c levels measured within 3 months of



**Figure 1** Location of Minamisoma Municipal General Hospital (MMGH) in relation to Fukushima Daiichi Nuclear Power Plant (created using ArcGIS V.10.2 software).

the study baseline month (March 2010) and being in receipt of ongoing treatment predisaster (at least two check-ups in 2010) at MMGH. Of the 1332 patients with diabetes under care of the hospital in March 2010, 937 were resident in Minamisoma city and therefore eligible for inclusion in the study cohort. Of these, 533 did not meet the criteria for HbA1c measurement or ongoing treatment, leaving a total of 404 patients who made up the study cohort at baseline. Of these 404 patients, 84 (20.8%) were lost to follow-up after the disasters. Follow-up was complete for all three time points for 284 (70.3%) of the study cohort, with postdisaster data only available from 1 year (2011 or 2012) for 36 (8.9%).

#### Study design and data collection

The study attempted to capture: (1) changes in the proportion of patients with poor glycaemic control (based on HbA1c levels) from the predisaster to postdisaster period; and (2) what factors predicted a deterioration in glycaemic control. Glycaemic control was assessed at baseline (target date March 2010) and at two points postdisaster (target dates December 2011 and March 2012). Patients were regarded as having poor glycaemic control at a particular point in time if they had a recorded HbA1c≥7.0%. <sup>27</sup> A deterioration in glycaemic control was defined as an >0.5% increase in HbA1c, comparing predisaster and postdisaster measurements. Other study variables (age, sex, medication use, evacuation status and urban/rural residence) were based on information in the patient's outpatient hospital chart (birthdate, sex, prescribed medications, address). Residents of Minamisoma who evacuated the city for any length of time after the disasters had this information recorded in their hospital charts, which was used for study purposes.

Data on HbA1c levels were extracted from hospital laboratory records, with the laboratory's measurements using Japan Diabetes Society (JDS) values converted into the internationally recognised NGSP values. As above, HbA1c levels were assessed at three time points: March 2010 (predisaster), December 2011 (9 months postdisaster) and March 2012 (1 year postdisaster). One year predisaster (March 2010) was chosen as the baseline, while 9 months postdisaster (December 2011) was chosen as the first point for postdisaster measurement since a previous study has suggested that glycaemic control reaches its worst point 6-9 months following a disaster. 19 One year postdisaster (March 2012) was chosen as a second postdisaster comparison point. If multiple HbA1c levels had been tested in the same month, the date closest to the 11th of each month was used, in order to align most accurately to the timing of the disasters. If HbA1c data were not available for the postdisaster target month, the closest measurement of HbA1c was used. If participants did not have their HbA1c tested within 3 months of the 2011 and 2012 target months, they were regarded as lost to follow-up.

Diabetes medication use was also recorded for each patient at each time period. Patients were categorised according to whether or not they had a change in their diabetes medication (including starting insulin, or an increase in the number of oral hypoglycaemic agents) following the 3.11 disasters.

Urban/rural residence was based on the patient's recorded address as of 2010. This was categorised as urban, semiurban or rural according to the average land price of the neighbourhood (cho-machi) in which they lived (obtained from the Minamisoma Municipal Local Government's Department of Health and Welfare), with higher land prices representing higher density urban areas while lower land prices corresponded with low-density semirural areas, including farmland and mountainous areas (figure 2). It was our initial intention to use average residential land price as a marker of patients' material wealth, but the geographic distribution of this variable made it clear that it was actually capturing the population density of various neighbourhoods within Minamisoma.

#### Data analysis

The proportion of patients with poor glycaemic control (HbA1c $\geq$ 7.0%) was calculated for each time point. The mean HbA1c level for each year was also calculated. The two outcomes were calculated twice, first based on all patients with data available for any of the relevant points in time (n=404) and then based on a subcohort of patients (n=284) with complete data at all three time

points. The significance of changes over time in outcome measures was calculated using a  $\chi^2$  test, and one-way analysis of variance followed by Bonferroni's post hoc test, respectively.

The proportion of patients experiencing deterioration in diabetes control was calculated, based on postdisaster compared with predisaster HbA1c levels (postdisaster: either 2011 or 2012).

We conducted logistic regression analyses to assess the risk factors associated with the postdisaster deterioration in diabetes control, adjusted for covariates. Since some patients had data at two postdisaster points (2011 and 2012), the regression models included a random effect at individual level to control for the fact that the same patients' data were correlated. Variables considered were sociodemographic factors, including year, age, sex, urban/rural residence, evacuation experience and change of insulin use or in the number of prescribed medicines after the disaster. We used model selection by backward-stepwise deletion with p-to-remove of >0.05, starting with all the candidate variables, until only significant variables were left, to obtain the final model that had the best fit to our data. Variables that were known or suspected risk factors (eg, evacuation) were incorporated into the final model regardless of their statistical significance. The partial F-test was used to verify for the entry and removal of variables from the model.

All statistical analyses were conducted using STATA/MP V.13.

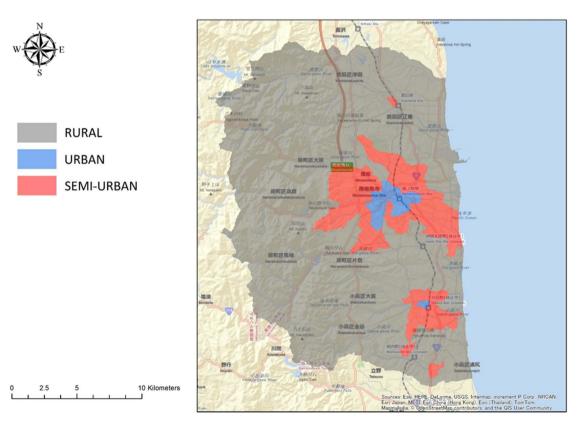


Figure 2 Classification of neighbourhoods by urbanity/rurality for Minamisoma City (created using ArcGIS V.10.2 software).



#### **RESULTS**

#### **Cohort characteristics**

Characteristics of the overall study cohort are presented in the first column of table 1. Participants ranged in age from 35 to 104 years, with a preponderance in older age groups. The mean age in 2010 was 71 years. Age did not differ significantly by sex, evacuation status or urban/rural residence. The majority of participants had type 2 diabetes, and there were slightly more men enrolled than women. About a third evacuated following the disasters.

While baseline data were available for 404 cohort members, we were unable to obtain any postdisaster data for almost one-quarter of the cohort (n=84), who were therefore regarded as lost to follow-up and excluded from subsequent analyses. Complete follow-up (data for both postdisaster time points) was available for 284 of the remaining 320 cohort members, with data from only one postdisaster time point available for 36 individuals (figure 3). The characteristics of the 284 individuals with complete follow-up, and of the 84 individuals lost to follow-up, are shown in the second and third columns of table 1. Patients lost to follow-up were more likely to have evacuated after the disasters (p<0.001).

#### Changes in diabetes control

Control of diabetes deteriorated postdisaster, with the mean HbA1c level increasing in each year, in addition to the proportion of patients with poor glycaemic control (HbA1c≥7.0%; figure 4). On the basis of cohort members with available data at each point in time, the mean HbA1c was 6.77 (SD=0.78) in 2010 (n=404), 6.85 (SD=0.83) in 2011 (n=300) and 6.90 (SD=0.88) in 2012 (n=304). The same pattern exists when analysis is

limited to the subcohort with complete follow-up data (n=284), for which the mean HbA1c was 6.76 (SD=0.69) in 2010, 6.87 (SD=0.83) in 2011 and 6.93 (SD=0.87) in 2012. The proportion of participants with poor glycaemic control (HbA1c of  $\geq$ 7.0%) increased significantly from 31.9% in 2010 to 41.4% in 2012 (p=0.028; figure 4). Again, the same pattern was observed when analysis was limited to patients with complete follow-up (n=284).

There was no statistically significant difference in the proportion of patients using insulin or the proportion using more than one type of oral antihyperglycaemic agents across the three time points.

Overall, 66.5% (n=213) of followed patients (n=320) experienced a deterioration in their diabetes control postdisaster (HbA1c increase by >0.5% in either 2011 or 2012), although not all met the criteria for 'poor control' as their HbA1c remained <7.0% even after increases. In 2011, 57.5% of patients had higher HbA1c levels compared with predisaster (based on 304 patients with available data), while in 2012 this proportion was 53.0% (based on 300 patients with available data).

#### Influence of sociodemographic factors on diabetes control

Results of regression analyses suggest that sociodemographic factors influenced the extent to which glycaemic control deteriorated in the postdisaster period (HbA1c increase by >0.5% in either 2011 or 2012; table 2). Deterioration of glycaemic control was patterned significantly by age and insignificantly by sex, with increased age found to be slightly protective (OR 0.95, p $\leq$ 0.01, for each additional year of age) and females at lower odds of deterioration compared with males (OR 0.50, p=0.06). Evacuation was not a statistically significant

Table 1 Characteristics of the overall study cohort (n=404), the subcohort with complete follow-up (n=284) and indiv	duals
lost to follow-up (n=84)	

Characteristics	Overall (N=404)	Complete (N=284)	Lost (N=84)
Age range	(35–104)	(36–92)	(35–103)
Mean age at 2010 (mean, SD)	71.0 (10.6)	70.7 (9.7)	71.0 (12.8)
Sex (N, %)			
Male	224 (55.5)	156 (54.9)	53 (63.1)
Female	180 (44.6)	128 (45.1)	31 (36.1)
Diabetes type (N, %)			
Type 1	3 (0.7)	2 (0.7)	1 (1.2)
Type 2	369 (91.3)	259 (91.2)	77 (91.7)
NA	32 (7.1)	23 (8.1)	6 (7.1)
Urban/rural residence (N, %)			
Urban	140 (34.7)	97 (34.2)	26 (31.0)
Semiurban	131 (32.4)	94 (33.1)	28 (33.3)
Rural	133 (32.9)	93 (32.8)	30 (25.7)
Evacuation (N, %)			
Evacuated	131 (32.4)	93 (33.2)	27 (61.4)
Did not evacuate	223 (55.2)	187 (66.8)	17 (38.6)
NA	50 (12.3)	4 (1.4)	40 (47.6)

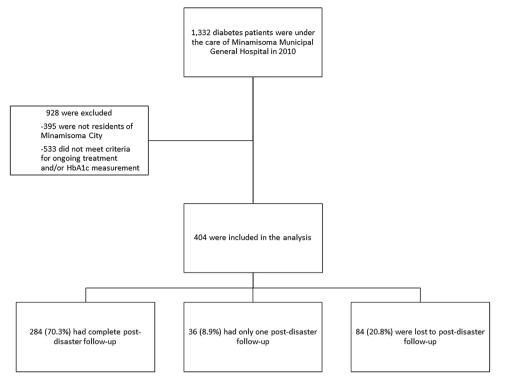


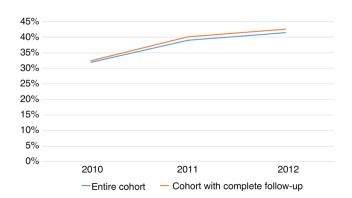
Figure 3 Participant inclusion and follow-up. HbA1c, glycated haemoglobin.

predictor of deteriorating glycaemic control postdisaster. Those residing in rural areas had the lowest odds of deteriorating glycaemic control postdisaster (OR 0.34, p $\leq$ 0.05), and those living in semiurban areas also appeared to experience a non-significant protective effect compared with patients living in the most urban neighbourhoods.

The adjusted ORs presented here are derived from the final regression model (adjusting for year of follow-up, age, sex, urban/rural residence and evacuation status). Almost identical results were obtained when changes in diabetes medication (insulin and oral hypoglycaemics) were also included in the model.

#### DISCUSSION

This study finds a general deterioration in the glycaemic control of patients with diabetes in Minamisoma city,



**Figure 4** Percentage of patients with poor glycaemic control (HbA1c≥7.0%) by year. HbA1c, glycated haemoglobin.

Fukushima prefecture, following the 3.11 triple disaster. Increased proportions of patients with diabetes with HbA1c≥7.0% were observed at both 9 and 12 months, and 66.5% (n=213) of followed patients (n=320) experienced an increase in HbA1c>0.5% following the disasters. These results are consistent with previous studies which have found increased HbA1c levels of patients with diabetes following natural disasters. <sup>18</sup> 19

Furthermore, this study finds that postdisaster deterioration of diabetes was patterned by sociodemographic factors. Urban/rural residency was a strong predictor of postdisaster glycaemic deterioration (HbA1c increase of >0.5%), with rural residency associated with the lowest

Variable	OR (95% CI)	p Value
Year		
2011	Ref	
2012	1.54 (0.96 to 2.38)	0.07
Sex	,	
Male	Ref	
Female	0.50 (0.25 to 1.02)	0.06
Age	0.95 (0.91 to 0.98)	<0.01
Urban/rural reside	nce (N, %)	
Urban	Ref	
Semiurban	0.76 (0.32 to 1.78)	0.53
Rural	0.34 (0.13 to 0.84)	< 0.05
Evacuation		
No	Ref	
Yes	1.08 (0.50 to 2.35)	0.83

odds of deterioration (OR 0.34, CI 0.13 to 0.84, p≤0.05). Previous literature has suggested that urban/rural residency can influence the HbA1c levels of patients with diabetes in non-disaster settings, yet there are diverse findings between countries. In Germany, mean HbA1c levels of patients with diabetes were shown to be lower in urban areas, 15 while a study from Fiji found the opposite result 16 and a study from the USA determined no associations between urban/rural residency and HbA1c levels. 17 The impact of urban/rural residency on glycaemic control is most likely mediated by sociocultural factors, thus differing by country. The present results highlight a need for further research on the underlying factors involved in effects of urban/rural residency on glycaemic control in disaster and non-disaster settings.

The results of this study also indicated that each year of increased age had a small protective effect against postdisaster deterioration (OR 0.95, 95% CI 0.01 to 0.98, p=0.01). It is worth noting the advanced age of this cohort (mean age of 71 years in 2010), reflecting the rapid population ageing of Minamisoma City. While older age is generally linked with an increased risk of developing diabetes, it has been found in non-disaster settings that older adults are more likely to meet HbA1c goals than younger adults, and the results of this study indicate that they may also be less likely to experience HbA1c deterioration following disasters. This finding conflicts with the concept that the elderly are some of the most vulnerable to disaster impacts on chronic diseases, and deserves further research.

In addition to its relevance to research on postdisaster health outcomes, this research provides a case study of the social determinants of health in rural Japan, considering the 3.11 triple disaster as an external stressor that may highlight underlying patterns of advantage and disadvantage. There has been limited work on the social determinants of health in Japan. Until now, the majority of this research has come from the USA, the UK and Canada, and studies assessing health inequalities in Japan often rely on measurements developed in these countries such as income and occupational indicators;31 32 many focus on the end point of mortality, and we could find none that have assessed determinants of diabetes outcomes. A limitation in applying measurements developed in other countries is that there has been little theoretical exploration of the most fitting social indicators for the unique sociocultural context of Japan. This is a contrast to other countries, where measurements such as deprivation indices have been developed and validated,31 presenting a need for further research. The present findings on age and rural residency may hold insight on the social determinants of health in Japan. While this study is limited in that it cannot account for underlying causes of glycaemic differences related to urban/rural residency and age, it highlights the need for further studies on social patterns of health and disease in Japan and illustrates that these

indicators may be meaningful. Age is a particularly relevant finding in regard to this rapidly ageing society, and has been discussed as a vulnerability factor in previous studies from Japan.<sup>33</sup> Our finding of age as slightly protective deserves further research, in addition to deepened inquiry on urban/rural differences in health.

#### Strengths and limitations of this study

A key limitation of our study was the considerable proportion of the study cohort lost to follow-up (20.8%). From available hospital data, it was not possible to determine why these patients had not had HbA1c measured after the disasters. Comparison with the characteristics of the cohort as a whole and those with complete follow-up (table 1) suggests that patients lost to follow-up had similar characteristics to those who remained in the study, thus reducing the likelihood of selection bias. Nevertheless, this reduced the overall study power and may have limited our ability to detect small associations. It is likely that patients lost to follow-up were those most significantly affected by the disaster, for example, through losing a home or a family member. Since stress is hypothesised as a key pathway through which disaster experience leads to deterioration of glycaemic control, the loss of the most disaster-affected patients may have biased results towards the null (ie, resulted in a more conservative estimation of the impact of the disaster on glycaemic control). It is possible that this loss to follow-up at least partly accounts for our failure to find an association between evacuation status and glycaemic control; while this may represent a genuine lack of effect, we note that previous research found that evacuees were at increased risk of developing diabetes.<sup>34</sup> Moreover, it is additionally possible that evacuation status may have been misclassified for some of our cohort, since our measure was based on hospital records which made no distinction between short-term and longterm evacuation. Although this study was carried out in an area impacted by a nuclear disaster, it was not possible to assess radiocontamination levels and any possible correlations with glycaemic control. Additionally, it was not possible to assess seasonal or temporal trends in HbA1c levels because of the limited time points assessed in this study.

Despite these limitations, this study provides a valuable analysis of glycaemic control in a defined cohort of patients with diabetes affected by the 3.11 triple disaster. This is the first study to suggest that the impact of a disaster on glycaemic control is mediated by sociodemographic factors.

#### **CONCLUSION**

Patients with known diabetes who were living in Minamisoma at the time of Japan's 3.11 triple disaster experienced a deterioration in glycaemic control. This deterioration was patterned by sociodemographic factors, with urban residency and younger age associated

with higher odds of increased HbA1c levels postdisaster. Findings from this study may be useful in identifying patients with diabetes at increased risk in postdisaster contexts. These results could additionally be valuable to future studies addressing the social determinants of health in Japan.

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