



Preparations for the 2019 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions

Secretariat of the Basel, Rotterdam and Stockholm Conventions



Food and Agriculture
Organization of the
United Nations

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Highlights

- 29 April - 10 May 2019, CICG, Geneva
- Theme of the meetings: *“Clean Planet, Healthy People: Sound Management of Chemicals and Waste”*
- No high-level segment
- Expect about 1,500 participants from up to 187 Parties
- Exhibitions, information fair and side events
- Paperless and plastic reduced meeting
- Meeting website (incl. information for participants):
<http://www.brsmeas.org/2019COPs>
- Documents:
<http://www.brsmeas.org/2019COPs/MeetingDocuments/tabid/7832>



Financial support and credentials

- **Financial assistance** for up to three delegates, as funds permit, for States that are developing countries or countries with economy in transition and Party to all three conventions
- Financial support pledged by: Germany, Norway and Switzerland
- Original and copies of **credentials** in good order will be accepted, with the understanding that the original will be submitted as soon as possible
 - Credentials are to be issued by the Head of State or Government or by the Minister for Foreign Affairs
 - Submission to the Secretariat if possible no later than 24 hours after the opening of the meetings (by 10 a.m. on Tuesday, 30 April 2019)
 - Model for credentials available in all 6 UN languages
 - Credentials for all 3 meetings can be in one document

Organization of work

- Similar approach to 2017:
 - Pre-meetings (e.g. bureaux, regions) on the day before the meetings
 - Joint sessions on joint issues on first day and a half
 - Separate COPs: SC – BC – RC
 - Conclude with joint and separate sessions, as necessary
- Tentative schedule and list of possible contact groups agreed to by bureaux at their joint meeting
- Limited number of contact groups running in parallel



Tentative schedule (week 1)

	Mon, 29 April	Tue, 30 April	Wed, 1 May	Thu, 2 May	Fri, 3 May	Sat, 4 May
10 AM - 1 PM	BC Opening, agenda	<i>Reports of contact groups</i>				
	RC Opening, agenda	<u>Joint session:</u> POPs wastes Synergies MoUs Next COPs Other matters	SC DDT PCBs	SC <i>Draft decisions</i> Effectiveness evaluation Evaluation procedure para 2(b) Article 3	BC Techn. GL Strategic framework Ban Amendment ESM Cartagena Compliance	BC Legal clarity Marine plastic Electronic notifications Waste nanomaterials BC Partnership Programme
	SC Opening, agenda					
3-6 PM	<u>Joint session:</u> Compliance Programme of work and budget	SC RoP Compliance Exemptions PFOS	SC NIPs Reporting	SC <i>Draft decisions</i> Other matters	SC <i>Draft decisions</i> SC report	BC Classification Reporting Legislation / notifications
	SC Listing of chemicals				Report of joint sessions	



Tentative schedule (week 2)

	Sun, 5 May	Mon, 6 May	Tue, 7 May	Wed, 8 May	Thu, 9 May	Fri, 10 May
		<i>Reports of contact groups</i>				
<i>AM</i>	No meetings	BC <i>Draft decisions</i> OEWG Other matters	RC Compliance Enhancing effectiveness Listing of chemicals	RC Listing of chemicals RoP Status of implementation	RC <i>Draft decisions</i>	RC <i>Draft decisions</i> RC report
<i>PM</i>	No meetings	BC <i>Draft decisions</i>	BC <i>Draft decisions</i> BC report	RC <i>Draft decisions</i> Other matters	<u>Joint session:</u> <i>Draft decisions</i>	<i>BC budget</i> <i>RC budget</i> <i>SC budget</i> Closure



Potential contact and other groups

- **Joint:**

- Group on programmes of work and budgets
- Group on joint issues
- Group on technical assistance/financial resources and mechanisms

- **SC:**

- Group on listing of chemicals
- Group on compliance

- **BC:**

- Group on technical matters
- Group on strategic matters
- Group on compliance and legal matters
- Group on marine plastic litter and microplastics

- **RC:**

- Group on enhancing the effectiveness of the Convention
- Group on the listing of chemicals
- Group on compliance

Election of officers

	Basel Convention				Rotterdam Convention		Stockholm Convention		
	COP Bureau	OEWG Bureau	ICC	ENFORCE	COP Bureau	CRC	COP Bureau	POPRC	DDT Expert Group
Africa	2 officers	1 officer	2 members	2 members (1 Party, 1 BCRC)	1 officer	4 members	2 officers	4 members	2 Parties
Asia - Pacific	2 officers	1 officer	2 members	2 members (1 Party, 1 BCRC)	1 officer	4 members	2 officers	4 members	2 Parties
CEE	2 officers	1 officer	2 members	2 members (1 Party, 1 BCRC)	1 officer	1 member	2 officers	1 member	2 Parties
GRULAC	2 officers	1 officer	2 members	2 members (1 Party, 1 BCRC)	1 officer	2 member	2 officers	2 member	2 Parties
WEOG	2 officers	1 officer	2 members	1 member (1 Party)	1 officer	3 member	2 officers	1 member	2 Parties

For more information see:

<http://www.brsmeas.org/2019COPs/Electionsoficers/tabid/7632/language/en-US/Default.aspx>



Election of officers: rules and requirements

- According to the financial rules, no representative of any Party whose contributions are in **arrears for 2 or more years** shall be eligible to become a member of the Bureau of the COP or its subsidiary bodies. Rule does not apply to LDCs, SIDS or Parties that have agreed on and are respecting a schedule of payments
- When putting forward nominations of member and experts, **Parties should take into account** the following for each candidate:
 - Required time and travel needs
 - Qualifications (where relevant)
 - Submission of documentation in support of candidatures (CV and signed declaration of interest form for CRC and POPRC)
- **Replacement of CRC and POPRC members** shall be done in accordance with such procedure as the region concerned may determine
- Discussion of nominations in advance of COPs is encouraged

Regional preparatory meetings

Meetings/ regions	Dates	Locations
Asia-Pacific region	4-6 March 2019	Suzhou, China
Africa region	18-20 March 2019	Nairobi, Kenya
CEE region	26-28 March 2019	Brno, Czech Republic
GRULAC region	27-29 March 2019	Montevideo, Uruguay

Back-to-back information sessions on issues pertaining to the Minamata Convention and SAICM (for Africa/Asia-Pacific) and gender training.

Thanks to financial support provided by Norway and Switzerland for the regional meetings and Sweden for the gender training.





**Main issues on
the agendas**

BC COP-14 main issues

Strategic matters:

○Strategic framework

- ✓ Consider the compilation of information relevant to the indicators to be used for the preparation of the final evaluation of the strategic framework

○Ban Amendment

- ✓ Since COP-13: 6 Parties have deposited instruments of ratification, acceptance or approval; 2 more COP-3 Parties required for entry into force
- ✓ Consider welcoming such ratifications, and call on others to ratify

○Developing ESM guidelines

- ✓ ESM Toolkit: draft guidance documents and manuals for COP-14 consideration and possible adoption
- ✓ Proposal to COP-14 that work of EWG on ESM continue electronically until work programme completed (until COP-15)



Scientific and technical matters – technical guidelines:

○ POPs technical guidelines

- ✓ New or updated technical guidelines for consideration and possible adoption by COP-14 – these include: updated general technical guidelines on POPs and technical guidelines on specific POPs

○ E-waste technical guidelines

- ✓ Consider amending the e-waste technical guidelines as suggested by the EWG, taking into account OEWG-11 discussions

○ Technical guidelines on incineration on land (D10) and on specially engineered landfill (D5)

- ✓ Updated drafts of technical guidelines submitted for COP-14 consideration

○ Technical guidelines on the environmentally sound management of waste lead-acid batteries

- ✓ Consider the invitation of UNEA-3 to revise the Technical Guidelines for the Environmentally Sound Management of Waste Lead-acid Batteries regarding the application of new technologies in different aspects of environmentally sound management systems

○ Technical guidelines on the environmentally sound management of wastes consisting of elemental mercury and wastes containing or contaminated with mercury compounds

- ✓ Consider the invitation of Minamata COP-2 to review the technical guidelines on environmentally sound management of wastes consisting of, containing or contaminated with mercury or mercury compounds, as appropriate, with additional guidance for certain mercury wastes



Scientific and technical matters (cont.):

○ National reporting

- ✓ Decide on the types of wastes for which practical guidance on the development of inventories should be developed

○ Electronic approaches to notification and movement documents

- ✓ Consider requesting Secretariat to prepare a report on experiences in developing and implementing electronic systems and to organize consultative workshops to explore options for a Basel Convention system

○ Waste containing nanomaterials

- ✓ Consider taking note of the report on issues related to waste containing nanomaterials and options for further work under the Basel Convention, and to consider further work that may be carried out

○ Marine litter and Microplastics

- ✓ Consider COP draft decision comprising elements set out in the annex to OEWG-11 decision
- ✓ Consider the establishment of a partnership under the Basel Convention on plastic wastes

○ Amendments to annexes

- ✓ Consider proposal by Norway to amend annexes II, VIII and IX of the Convention in relation to solid plastic wastes



Legal, governance and enforcement matters:

- **Implementation and Compliance Committee**
 - ✓ Consider guidance developed by ICC and its draft work programme for 2020-21
- **Providing further legal clarity**
 - ✓ Consider taking note of the recommendations for revisions to Annex IV and Annex IX (B1110)
 - ✓ Consider extending the mandate of the EWG in order for it to assess the implications of the review of Annexes I, III and IV for other annexes of the Convention

International cooperation and coordination:

- **Basel Convention Partnership Programme**
 - ✓ Consider a proposal for a follow-up partnership to PACE
 - ✓ Consider the development of guidance under the Household Waste Partnership
 - ✓ Consider the report and recommendations on the further development of partnerships
- **Cooperation with WCO**
 - ✓ Consider types of waste for which it would be useful to request the WCO to introduce codes in the Harmonized Commodity Description and Coding System, ranked in order of priority
- **OEWG work programme 2020-21**
 - ✓ Adopt the work programme of the OEWG for 2020-21



RC COP-9 main issues

Compliance

- Continuation of consideration of draft text of procedures and institutional mechanisms on compliance (annex to decision RC-7/6 and draft decision submitted by the co-chairs of the contact group on compliance matters at COP-7)
- Proposal to add a **new annex** VII to the Convention submitted by Canada, Colombia, Costa Rica, EU and its member States, Ghana, Jordan, Mali, Nigeria, Peru, Switzerland, Thailand, the United Republic of Tanzania and Zambia
 - ✓ Proposal based on the text contained in the annex to decision RC-7/6
 - ✓ Parties and Signatories informed by letter of the proposal on 26 October 2018 (6 months prior to COP), invitation to comment by 31.12.18
 - ✓ Possibility to adopt new annexes by a $\frac{3}{4}$ majority vote if all efforts at consensus have been exhausted
 - ✓ Parties unable to accept the additional annex have one year to inform the Depositary thereof

Enhancing the effectiveness of the Rotterdam Convention

- Process to identify ways to enhance the effectiveness of the Rotterdam Convention
- Financial support provided by Australia, Germany, Norway and the EU
- **Intersessional working group** met in Riga (4-6 June 2018) and developed **recommendations** on:
 - ✓ Listing of chemicals
 - ✓ Information exchange, including through the CHM
 - ✓ Capacity-building and technical assistance including development of guidance and awareness raising
 - ✓ Other processes
- Recommendations to be considered at COP-9, including carrying out an assessment of the effectiveness of the Convention
- Includes consideration of proposals from African countries from COP-8 to amend Articles 16 (technical assistance) and 22 (adoption and amendment of annexes)



Listing of chemicals in Annex III to the Convention: Chemical Review Committee

○ «New» chemicals:

- ✓ Acetochlor (pesticide)
- ✓ Hexabromocyclododecane (industrial chemical)
- ✓ Phorate (pesticide)

Letter to inform Parties and Signatories sent on 22 October 2018 (6 months prior to COP)

○ «Old» chemicals:

- ✓ Carbosulfan (pesticide)
- ✓ Chrysotile asbestos (industrial chemical)
- ✓ Fenthion (ultra low volume (ULV) formulations at or above 640 g active ingredient/L) (SHPF)
- ✓ Liquid formulations (emulsifiable concentrate and soluble concentrate) containing paraquat dichloride at or above 276 g/L, corresponding to paraquat ion at or above 200 g/L (SHPF)

COP decided previously that all criteria for listing have been met

Other issues of the RC COP-9

○ Status of implementation of the Rotterdam Convention



SC COP-9 main issues

Listing of chemicals in Annex A, B or C to the Convention

- POPRC recommendations on:
 - ✓ listing dicofol in Annex A without specific exemptions
 - ✓ listing PFOA, its salts and PFOA-related compounds in Annex A with specific exemptionsLetter to inform Parties and Signatories sent on 25 October 2018 (6 months prior to COP)
 - Proposals to amend Article 8 and Annex D of the Convention in relation to listing of chemicals submitted by the Russian Federation
- Letter to inform Parties and Signatories sent on 26 October 2018 (6 months prior to COP)

Reduce or eliminate release from intentional production and use

- Exemptions
 - ✓ Upcoming expiry of several specific exemptions (e.g. Lindane)
 - ✓ Parties reminded to register for specific exemptions and others that are available by notifying the Secretariat using the relevant forms
- DDT
 - ✓ Evaluate the continued need for DDT taking into account the report (incl. conclusions and recommendations) of the DDT expert group
- PCB
 - ✓ Review progress based on SIWG recommendation on elimination of PCBs
 - ✓ Consider re-establishing SIWG to prepare a report on progress towards the elimination of PCBs for consideration by SC COP-11
- PFOS, its salts and PFOSF
 - ✓ POPRC recommendation to: amend Annex B regarding PFOS, its salts and PFOS

Compliance

- Consider adoption of compliance mechanism based on the draft texts in the annex to decision SC-7/26

NIPs

- Electronic template for reporting of information in NIPs and revised guidance on developing and updating NIPs

Reporting pursuant to Article 15

- Information provided by Parties in the report and the manual for completing the updated format

Effectiveness evaluation (EE)

- Revision of the framework for EE based on the report of the EE committee; consider adopting the revised framework for EE

Global monitoring plan for effectiveness evaluation (GMP)

- Consider the draft updated guidance for the GMP

Other issues on SC COP-9 agenda

- Review of the effectiveness of the procedure under paragraph 2 (b) of Article 3, measures to reduce or eliminate releases from wastes; Toolkit and BAT & BEP

Joint issues

Technical assistance – financial resources

- Technical assistance:
 - ✓ Methodology and terms of reference for conducting monitoring and evaluation of the technical assistance plan and assessing technical assistance needs
 - ✓ Report on implementation of technical assistance plan
- Regional centres:
 - ✓ Evaluation of BC and SC regional centres and endorsement of SC ones
 - ✓ Endorsement of the nominated Novosibirsk Institute of Organic Chemistry to serve as SC regional centre
- Financial resources:
 - ✓ Information on implementation of integrated approach
 - ✓ SC financial mechanism: adopt ToR of fifth review of financial mechanism and of financial needs assessment for the implementation of the Convention in 2022-2026

Enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions

- International cooperation and coordination
 - ✓ SDGs, UNEA, e-waste coalition, IOMC, cooperation and coordination with other actors, action in follow-up to Minamata COP-2
- Clearing house mechanism for information exchange
 - ✓ Implement CHM strategy and work plan for 2020-2021
- Mainstreaming gender
 - ✓ Take note of the updated Gender Action Plan with indicators for monitoring progress and the report on its implementation
- Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes
 - ✓ Consider information submitted by Parties and IGOs, consider value of developing glossaries of terms to bring clarity with a view to strengthening the capacity of Parties to prevent and combat illegal traffic and trade (define terms, appropriate body, deadlines and allocate resources)
- From science to action
 - ✓ Revised draft road map, capacity building and training activities, cooperation with UNEP and others

Budget and programmes of work

- 2 scenarios
 - ✓ **Zero nominal growth scenario**
Significant reduction in services provided to Parties in numerous areas
 - ✓ **Executive Secretaries' scenario**, 5% growth (2.5% annually)
Mainly maintaining activities, increase due to standard growth rate of staff costs and the inclusion of some cyclical activities, some core activities are proposed to be funded from general trust funds
- Same format as in the past (joint and convention specific activities), essentially same POW activities, same documents provided than in past (fact sheets, etc.)
- Shows activities FAO has supported with Regular Programme Funds and indication of activities it will support in 2020-2021 (subject to approval by FAO Conference)
- Positive developments on arrears

Memorandums of Understanding between UNEP and the COPs (and FAO in the case of RC)

- Three convention-specific MoUs in front of the COPs for possible adoption
- Developed by the Secretariat in consultation with UNEP (and FAO for RC)

Meetings website:

<http://brsmeas.org/2019COPs>

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Thank you.